

## HOW TO USE THIS APPLICATION FORM

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### Strategia Yield Fund Ltd (Individual Application)

**1. Complete sections 1 - 6 of the Application Form**

2. Attach your documents as per Appendix A.

**3. Payment**

Payments should be made in Mauritian Rupees by cheque or by transfer to the following Account:

Beneficiary's Name:	Strategia Yield Fund Ltd
Beneficiary's Account Number:	001320300978025
IBAN no:	MU21AFBL2501320300978025000MUR
Beneficiary Bank:	AfrAsia Bank Ltd
Bank's Address:	Bowen Square, 10 Dr. Ferriere Street, Port Louis, 11328 Mauritius
Swift Code:	AFBLMUMU

**4. Send to:**

Strategia Wealth Managers Ltd  
Level 2, Office 2, IconEbene, Rue de L'institut  
Ebene 72201, Mauritius  
Tel: (230) 460 5171  
E-mail: [contact@strategiawealth.com](mailto:contact@strategiawealth.com)

**5. Trading**

- Strategia Yield Fund Ltd ("Fund") is a weekly traded fund and trades on the last business day of the week, "which is the Dealing Day".
- Your duly filled in and signed subscription form together with the required documentation should be sent to the Fund, for review. Upon go-ahead from the Fund, your investment amount should reach the Fund's bank account at latest 1 business day before the Dealing Day to ensure the subscription is promptly processed.

**6. Dividend Payments**

Dividend payments can be done on an annual / bi-annual basis. Dividend amounts paid will depend on the performance of the Fund over the corresponding period.

Strategia Yield Fund Ltd

Please complete this form in BLOCK CAPITALS for subscription into the Fund.

**SECTION 1 - PARTICULARS OF APPLICANT**

**First Holder**

Title	<input type="text"/>	Surname	<input type="text"/>
First Names	<input type="text"/>		
Maiden Name	<input type="text"/>		
Marital Status	<input type="text"/>	Citizenship	<input type="text"/>
Date of Birth	<input type="text"/>	I.D. Card/Passport No.	<input type="text"/>
Occupation	<input type="text"/>		
Employer	<input type="text"/>		
Employed since	<input type="text"/>		
Tax Account Number	<input type="text"/>		
Tax Jurisdiction	<input type="text"/>		

**Second Holder**

Title	<input type="text"/>	Surname	<input type="text"/>
First Names	<input type="text"/>		
Maiden Name	<input type="text"/>		
Marital Status	<input type="text"/>	Citizenship	<input type="text"/>
Date of Birth	<input type="text"/>	I.D. Card/Passport No.	<input type="text"/>
Occupation	<input type="text"/>		
Employer	<input type="text"/>		
Employed since	<input type="text"/>		
Tax Account Number	<input type="text"/>		
Tax Jurisdiction	<input type="text"/>		

**SECTION 2 - ADDRESS**

Address	<input type="text"/>		
	<input type="text"/>		
Postal Code	<input type="text"/>		
Country	<input type="text"/>		
Phone	<input type="text"/>	Fax	<input type="text"/>
Email	<input type="text"/>		

**SECTION 3 - PAYMENTS TO YOU**

Instructions for Dividend

- Paid by Cheque
- Paid by Bank Transfer
- Reinvested in the Fund

Initial:.....

Please provide below your bank account details from which the subscription will be remitted and to which dividends will be paid:

Correspondent Bank Name: .....

Correspondent Bank Address with Postal Code: .....

Correspondent Bank SWIFT / Sort Code: .....

Beneficiary Bank Name: .....

Beneficiary Bank SWIFT / Sort Code\*: .....

Beneficiary Bank Address with Postal Code: .....

Beneficiary Account Name: .....

Beneficiary Account Number: .....

IBAN Number (where applicable): .....

\*Please contact your bank if you do not know the SWIFT / Sort Code

**SECTION 4 - LEGAL INSTRUCTIONS**

In the case of a joint application, until further notice in writing issued by the Holder, the Fund and the Manager are authorised to rely upon and act in accordance with the instructions, communications and requests and to deal with instruments purporting to be made, drawn, accepted, endorsed or given by courier, post, email or facsimile from: (Please tick the box as appropriate)

- ANYONE of the joint holders
- ALL of the joint holders

**SECTION 5 – CONSENT FOR ELECTRONIC COMMUNICATION**

I/We, hereby consent to receive notices, dividend advices, annual reports and other shareholder documents issued by the Fund via email on the email address provided under Section 2 above.

- Yes
- No

**SECTION 6 – DECLARATION SOURCE OF FUND AND WEALTH CONFIRMATION**

Yearly Income (MUR) Combined for Joint Holders (where applicable):  
(tick as appropriate)

Below 100,000	<input type="checkbox"/>	Salary	<input type="checkbox"/>	Savings	<input type="checkbox"/>
100,000 - 200,000	<input type="checkbox"/>	Rental / Property Sale	<input type="checkbox"/>	Loan	<input type="checkbox"/>
200,001 - 300,000	<input type="checkbox"/>	Inheritance / Gift	<input type="checkbox"/>	Trade Business	<input type="checkbox"/>
300,001 - 500,000	<input type="checkbox"/>	Dividend / Interest	<input type="checkbox"/>	Other (please specify):	<input type="checkbox"/>
500,001 - 700,000	<input type="checkbox"/>	Maturing Investment / Sale of Investment	<input type="checkbox"/>	.....	
700,001 - 1,000,000	<input type="checkbox"/>	Lottery / Casino / Betting	<input type="checkbox"/>		
Above 1,000,000	<input type="checkbox"/>	Retirement Benefits / Pension	<input type="checkbox"/>		

Kindly refer to Appendix B for the evidence required by the Fund.

Initial:.....

*Declarations:*

1. I/We confirm that the funds and/or assets (the "Assets") transferred or to be transferred to the Fund are sourced from my/our personal assets and/or from benefits of transactions due to me/us all of which are known to me/us.
2. I/We confirm that the Assets transferred now or at any time in the future to the Fund are not/will not be derived from or otherwise be connected with any activity which is illegal or unlawful either in their country of origin or in the location in which the company is established.
3. I/We further confirm that the transfer of assets to the Fund are not in breach of anti-money laundering regulations and laws applicable to Mauritius including The Financial Intelligence and Anti-Money Laundering Act 2002, The Financial Crimes Commission Act 2024, The Anti-Money Laundering, and Combatting the Financing of Terrorism Handbook 2020 and The Prevention of Terrorism Act 2002.

**GENERAL DECLARATIONS**

1. I/We acknowledge that I/we will be required to provide the evidence required by applicable laws and regulations for anti-money laundering checks. If the Fund does not receive satisfactory evidence, then further information may be requested. The Fund Ltd reserves the right to not process the investment until such information is received.
2. I/We understand that all instructions must be in writing and emanate from myself/ourselves or from any person duly appointed by me/us by an instrument in writing. I/We further understand that if I/we choose to give instructions by fax I/we do so at my/our own risk.
3. I/We understand that the Fund and the Manager shall not be under any obligation to verify the authenticity of any instructions given pursuant to this form, whether by fax or phone.
4. I/We confirm that the above authorisations will remain in effect until a revocation in writing has been received by Fund.
5. I/We hereby hold harmless the Fund and the Manager for any losses or expenses incurred as a result of any of them acting pursuant to the above considerations, save where such losses or expenses arise from the gross negligence or wilful misconduct of such parties.
6. Any changes to the information submitted in this document shall be notified in writing in acceptable delay.
7. I/we hereby acknowledge and agree that the Fund and the Manager, may deliver and make reports, statements and other communications available in electronic form, such as e-mail or by posting on a secure website.
8. I/We understand and agree that any redemption proceeds paid to me/us will be paid to the same account from which my/our investment in the Fund was originally remitted, unless the Fund, in its sole discretion, decides otherwise.
9. I/We confirm that the information provided in Section 1 and 2 are true, accurate and complete. In case of any change in the particulars or any change in circumstance causing a change in the tax residency or FATCA/CRS classification, I/We undertake to inform the Fund within 90 days of such change. Consequently, an updated self-certification form, with supporting documents will be provided.
10. I/We agree my/our electronic signature is the legal equivalent of my/our manual/handwritten signature on this Application Form. I/We further agree that my/our signature on this document (hereafter referred to as my/our "E-Signature") is as valid as if I/We signed the document in writing. I/We also agree that no certification authority or other third-party verification is necessary to validate my/our E-Signature, and that the lack of such certification or third-party verification will not in any way affect the enforceability of my/our E-Signature or any resulting agreement between myself/us and the Fund.
11. I/We hereby acknowledge that in compliance with the Mauritius Data Protection Act 2017 ("DP Act"), the Fund or the Manager may procure, collect, use, process and disclose my/our personal data (if it is an individual) or individuals associated with us, so that the Fund or the Manager can carry out its obligations to the Shareholders or the Fund and for other related purposes, including auditing, monitoring and analysis of its business, fraud and crime prevention, money laundering, legal and regulatory compliance. I/We

Initial:.....

hereby consent to the Fund or the Manager to transfer the personal data to any country (including countries outside Mauritius where there may be less stringent data protection laws) where the transfer is necessary and in accordance with the DP Act. I/We acknowledge that the Fund or the Manager may disclose my/our personal data to third parties where necessary or for legitimate business interests.

12. I/We hereby agree that the Fund may disclose details of my/our investment in the Fund my/our personal data to the Manager or any companies within the Manager's or the Manager's group of companies.

### Notes

1. If your investment is to be held in more than one name, you should then note that any future instructions given to Strategia Yield Fund Ltd in relation to the investment will be accepted provided they are signed by the account holders, as indicated in section 4.
2. If any person is signing on behalf of an investor, the relevant mandate or other accepted evidence of authority should be attached.
3. Payments will only be made to the bank account provided under Section 3 (except if a specific request for change is made in writing).
4. In the case of the death of anyone of the joint holders, the survivor shall provide the relevant estate documentation to the Fund. (if applicable)
5. A Correspondent Bank is required when the currency is being paid to a country other than the country of origin i.e. USD being paid to the UK must be routed through a bank based in New York and then onto the UK bank. The Fund and the Manager shall not be responsible for any delay in any transfer nor any loss arising from the delay to effect this transfer.

By signing below, I/we confirm that I/we agree to the terms and conditions of this investment. I/we also confirm that I/we understand the risks associated with this investment.

### Investment Details

Please accept this application to purchase shares in Strategia Yield Fund Ltd.

<b>Currency</b>	<input type="text"/>	<b>Gross Amount</b>	_____
		<b>Entry Fees</b>	_____
		<b>Net Amount</b>	_____

### All holders must sign this form

1

2

**Date:** ...../...../.....

## Appendix A

### KYC Documents to be provided\*

- National Identity Card / Current valid Passport
- NCID issued by the Passport and Immigration Office (*for Non-Citizens*)
- Residence Permit / Work Permit (*for Non-Citizens*)
- A recent proof of address - e.g. *Utility bill or bank/credit card statement or bank confirmation letter, dated less than three months*
- Curriculum Vitae / Profile
- Bank Reference Letter (*to be submitted at the discretion of the Fund*)
- Evidence on the Source of Fund and Wealth (*as per Appendix B*)

*\*Any document, if not in original, shall be properly certified by an appropriate certifier. The documents may be certified by a lawyer, notary, actuary, an accountant or any other person holding a recognized professional qualification, a director or secretary of a regulated financial institution in Mauritius or in an equivalent jurisdiction, a member of the judiciary or a senior civil servant or a senior employee of a recognized bank.*

*In all cases, the certifier should sign the copy of document and clearly indicate his: name, address and position or capacity on it together with contact details to aid tracing of the certifier.*

*Please note that documents certified by the Investment Manager or the Administrator of the Fund may be accepted.*

*You may contact Strategia Wealth Managers Ltd, the Investment Manager on +230 460 5171 or DTOS Ltd, the Administrator on +230 404 6000 with regard to the certification of documents. To note that the original documents must be viewed before certification.*

## Appendix B

Description of Source of Wealth	Documentary Evidence required
Savings from Salary	<ul style="list-style-type: none"> <li>• Original or certified copy of Bank statements (at least for last 3 months)</li> </ul>
Sale of assets or property	<ul style="list-style-type: none"> <li>• Original Bank statement clearly showing receipt of funds; or » Certified copy of sale deed or contract, with value specified; or</li> <li>• Signed letter detailing funds from regulated accountant or solicitor; or</li> <li>• Certified investment certificates (for redeemed investments)</li> </ul>
Inheritance	<ul style="list-style-type: none"> <li>• Grant of Probate, with copy of will specifying value of the property/estate; or</li> <li>• Signed letter from Solicitor confirming ownership.</li> </ul>
Company profits	<ul style="list-style-type: none"> <li>• Certified copy of latest audited company accounts</li> </ul>
Maturity or surrender of life policy	<ul style="list-style-type: none"> <li>• Certified copy of closing statement; or</li> <li>• Letter from service provider confirming surrender</li> </ul>
Employer paying premium	<ul style="list-style-type: none"> <li>• Employer's reference letter on same; or</li> <li>• Certified copy of employer's latest audited accounts</li> </ul>
Gifts	<ul style="list-style-type: none"> <li>• Letter from donor confirming details of the gift; or</li> <li>• Original or certified copy of Bank statement</li> </ul>